

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



PL-9028 3 / 9

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A23G 9/02	A1	(11) International Publication Number: WO 98/04147 (43) International Publication Date: 5 February 1998 (05.02.98)
(21) International Application Number: PCT/EP97/03636 (22) International Filing Date: 4 July 1997 (04.07.97) (30) Priority Data: 96305499.4 26 July 1996 (26.07.96) EP <i>(34) Countries for which the regional or international application was filed:</i> GB et al. 96305497.8 26 July 1996 (26.07.96) EP <i>(34) Countries for which the regional or international application was filed:</i> GB et al. (71) Applicant (for all designated States except AU BB CA GB IE KE LK LS MN MW NZ SD SG SZ TT UG): UNILEVER N.V. [NL/NL]; Weena 455, NL-3013 Rotterdam (NL). (71) Applicant (for AU BB CA GB IE KE LK LS MN MW NZ SD SG SZ TT UG only): UNILEVER PLC [GB/GB]; Unilever House, Blackfriars, London EC4P 4BQ (GB). (72) Inventors: FENN, Richard, Anthony; Unilever Research Colworth Lab., Unilever House, Sharnbrook MK44 1LQ (GB). NEEDHAM, David; Unilever Research Colworth Lab., Unilever House, Sharnbrook MK44 1LQ (GB). SMALLWOOD, Keith; Unilever Research Colworth Lab., Unilever House, Sharnbrook MK44 1LQ (GB).		(74) Agent: UNILEVER N.V.; Patent Division, P.O. Box 137, NL-3130 AC Vlaardingen (NL). (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: FROZEN FOOD WITH ANTIFREEZE PEPTIDES (57) Abstract <p>A process for the production of a frozen food product comprising AFP, wherein the conditions are chosen such that the ice-crystals in the product have an aspect ratio of from 1.1 to 1.9.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LJ	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

FROZEN FOOD WITH ANTIFREEZE PEPTIDES

Technical Field of the Invention

5 The invention relates to a process for the preparation of a food product containing AFPs and to food products containing AFPs.

Background to the Invention

10

Anti-freeze peptides (AFPs) have been suggested for improving the freezing tolerance of foodstuffs.

Antifreeze proteins have been described in the literature,
15 see for example Marilyn Griffith and K. Vanya Ewart in Biotechnology Advances, Vol 13, No 3, pp 375-402, 1995. Antifreeze properties generally possess one or more of the following properties: thermal hysteresis, inhibition of ice recrystallisation, control of ice crystal shape and
20 interaction with ice nucleators.

Thermal hysteresis is the best known property of AFPs and the property is normally used to test for the presence of AFPs. Thermal hysteresis results from a lowering of the apparent
25 freezing temperature of a solution containing a thermal hysteresis active AFP without affecting the melting temperature. The identification of sources of AFP by thermal hysteresis tests is widely described in the literature, see for example John G Duman in Cryobiology 30, 322-328 (1993).

30

Inhibition of ice recrystallisation is another property of AFPs. This activity is also referred to as ice crystal growth suppression. This property can be tested by comparing at a certain point in time the ice crystal size of crystals in the
35 presence of AFP and in the absence of AFP. The application of this method in the testing of fish AFPs is described in US patent 5,118,792 (DNA Plant Technology Corporation)

A third property of AFPs is their ability to influence the shape of ice crystals. This property stems from the selective binding of AFPs to certain faces of the ice crystal and therewith limiting crystal growth in certain directions. The
5 presence of ice crystals having an hexagonal bipyramid shape is then considered indicative of the presence of AFP. This method is for example described for testing the activity of extracellular winter rye AFPs in WO 92/22581 (University of Waterloo).

10

A fourth property of AFPs is their ability to inhibit the activity of ice nucleating substances. This interaction between and AFP and an ice nucleator may for example result in increased thermal hysteresis. This property is for example
15 tested in WO 96/40973 (University of Notre dame du Lac)

AFPs have been suggested for improving the freezing tolerance of products. Many applications have been suggested in this context.

20

For example AFPs have been suggested for enhancing the cryopreservation of biological materials (WO 91/12718, Agouron Pharmaceuticals, WO 91/10361, The Regents of the University of California). Also AFPs have been suggested to
25 prevent leakage from liposomes e.g. in cosmetic or pharmaceuticals (see WO 96/20695). A further possible application is to increase the freezing tolerance of plants by including therein (or transgenetically producing therein) an AFP (See J. Cell. Biochem. Suppl. vol. 14e, 1990, page 303
30 XP002030248, Lee et al, abstract R228). Also fish AFPs have been suggested for use in food products for example in frozen yoghurt or ice cream (US 5,620,732 Pillsbury and WO 96/11586, HSC Research and development limited partnership).

35 Up till now, however the use of AFPs has not been applied on a commercial scale. Applicants are of the opinion that one of the reasons for the lack of commercial implementation is that

although many AFPs have been described, in practice the implementation in actual commercial products encounters serious problems.

5 Applicants have found that one of the key reasons for these problems is that out of the great number of AFPs that have been described in the literature only a limited set of AFPs can suitably be applied for each application; also applicants have found that this selection of suitable AFPs is dependent
10 on the desired application and/ or product attributes to be achieved.

WO 90/13571 discloses antifreeze peptides produced chemically or by recombinant DNA techniques. The AFPs can suitably be
15 used in food-products such as ice-cream. Example 3B shows modified ice-crystal shapes if a water-ice mixture is frozen into a film in combination with 0.01 wt% of AFP.

WO 92/22581 discloses AFPs from plants which can be used for
20 controlling ice crystal growth in ice-cream. This document also describes a process for extracting a polypeptide composition from extracellular spaces of plants by infiltrating leaves with an extraction medium without rupturing the plant cells.

25 WO 94/03617 discloses the production of AFPs from yeast and their possible use in ice-cream. WO 96/11586 describes fish AFPs produced by microbes.

30 The present invention aims at providing solutions to the above problems. In particular the invention aims at providing frozen food products having a relatively soft although brittle texture, said texture being maintained upon prolonged storage at low temperatures.

35 Surprisingly it has been found that AFPs can be conveniently incorporated in frozen food products to result in the desired

product properties as long as the processing conditions are varied such that the ice-crystal shape satisfies specific requirements.

5 Accordingly in a first aspect, the invention relates to a process for the production of a frozen food product comprising AFP, wherein the conditions are chosen such that the ice-crystals in the product have an aspect ratio of from 1.1 to 1.9.

10

If food products are frozen, ice-crystals are formed throughout the product. If AFPs are included in food products to be frozen this may lead to a change in ice-recrystallisation properties. Aggregation of the ice-crystals
15 of AFP containing products is may cause the brittleness of the product.

Many consumers are in favour of relatively soft and brittle food products or ingredients such as ice-cream and water-ice.
20 For example soft water-ice can be used as an attractive ingredient in frozen confectionery products, also relatively brittle ice-cream is liked by a large group of consumers.

Surprisingly we have found that AFPs offer the opportunity to
25 formulate frozen food products which on the one hand are relatively soft but brittle and on the other hand retain improved ice-recrystallisation properties. Applicants have found that surprisingly this advantageous combination of properties can be achieved if the product contains AFPs and
30 has an aspect ratio of the ice-crystals in the product is between 1.1 and 1.9.

The aspect ratio of ice-crystals is defined as the ratio of the length and the breadth of the ice-crystals. An aspect
35 ratio of between 1.1 and 1.9 corresponds to roundish ice-crystals, which are not elongated in shape. The aspect ratio of crystals can be determined by any suitable method. A

preferred method is illustrated in the examples. Preferably the ratio is between 1.2 and 1.8, most preferred between 1.3 and 1.7.

- 5 Preferably the frozen products of the invention are brittle. Preferably the minimum layer thickness at which fraction behaviour can be observed is less than 10 mm, more preferred from 1 to 5 mm. Fracture behaviour can either be measured (by preparing layers of varying thickness and determining at
10 which minimum thickness fracture behaviour occurs) or calculated from the Young's Modulus as described in the examples.

During the formulation and subsequent freezing of food
15 products several parameters can influence the aspect ratio of the ice-crystals to be formed. Examples of factors influencing the aspect ratio are given below. Applicants believe that it is well-within the ability of the skilled person to choose those conditions such that the aspect ratio
20 of the ice-crystals falls within the desired range.

One factor influencing the aspect ratio of ice-crystals is the rate of freezing the product. Generally speaking an increase in the rate of freezing leads to a decrease in the
25 ice crystal aspect ratio. In this context the temperature of freezing may influence the rate of freezing and therewith the aspect ration of the ice crystals. In this context freezing processes including a hardening step e.g. at a temperature below

30 -30 Fahrenheit are sometimes preferred. The storage temperature and storage time may equally influence the aspect ratio, whereby higher storage temperatures and/or longer storage times tend to favour the formation of high aspect ratios.

35

Another factor influencing the aspect ratio of ice-crystals is the mobility of the product during freezing. For example

if a liquid water-ice or ice-cream mix is to be frozen, quiescently freezing will lead to a fairly high aspect ratio for the ice-crystals, while stirring leads to a lower aspect ratio. High shear mixing will lead to even lower aspect ratios.

Another factor to influence the aspect ratio of the ice crystals is the presence and amounts of ingredients. For example the presence of ingredients which tend to form a network structure in the product (e.g. gums or fats) may lead to a lower aspect ratio than in products without these ingredients. Also other ingredients may lead to lower aspect ratios, for example high solids levels e.g. high sugar levels may lead to low aspect ratios.

Finally the nature and amount of the AFPs present may lead to a change in aspect ratios. Some AFPs seem to favour the formation of low aspect ratios, while other AFPs seem to induce higher aspect ratios. A suitable test to select these AFPs is described in the examples. Variation in the amount of AFPs may lead to a change in aspect ratios.

According to a second embodiment, the invention relates to a process for the production of a frozen food product comprising AFP, wherein the formulation, freezing and storage conditions are chosen such that the ice-crystals in the product have an aspect ratio of from 1.1 and 1.9.

The process of the invention can be applied to any frozen food product containing AFPs. Examples of suitable products are sauces, meals etc. Preferred food products are frozen confectionery products such as ice-cream and water-ice.

Applicants have found that the AFPs for use in the process of the invention can come from a variety of sources such as plants, fishes, insects and microorganisms. Both natural occurring species may be used or species which have been

obtained through genetic modification. For example micro-organisms or plants may be genetically modified to express AFPs and the AFPs may then be used in accordance to the present invention.

- 5 Genetic manipulation techniques may be used to produce AFPs as follows: An appropriate host cell or organism would be transformed by a gene construct that contains the desired polypeptide. The nucleotide sequence coding for the polypeptide can be inserted into a suitable expression vector
10 encoding the necessary elements for transcription and translation and in such a manner that they will be expressed under appropriate conditions (eg in proper orientation and correct reading frame and with appropriate targeting and expression sequences). The methods required to construct
15 these expression vectors are well known to those skilled in the art.

- A number of expression systems may be utilised to express the heat stable polypeptide coding sequence. These include, but
20 are not limited to, bacteria, yeast insect cell systems, plant cell culture systems and plants all transformed with the appropriate expression vectors.

- A wide variety of plants and plant cell systems can be
25 transformed with the nucleic acid constructs of the desired polypeptides. Preferred embodiments would include, but are not limited to, maize, tomato, tobacco, carrots, strawberries, rape seed and sugar beet.

- 30 For the purpose of the invention preferred AFPs are derived from fish. Especially preferred is the use of from plant origin (i.e. proteins directly obtained from plants or these proteins transgenically produced by other organisms), especially derived from winter-rye or perennial grasses.

35

For some natural sources the AFPs may consist of a mixture of two or more different AFPs.

Preferably those AFPs are chosen which have significant ice-recrystallisation inhibition properties. A suitable test for determining the recrystallisation properties is indicated in the examples. Preferably AFPs in accordance to the invention
5 provide an ice particle size upon recrystallisation - preferably as measured in accordance to the examples- of less than 20 μm , more preferred from 5 to 15 μm . It is believed that the small ice-crystal size combined with the specific aspect ratio is especially advantageous to obtain the
10 desirable structural features.

A very advantageous embodiment of the invention relates to product formulations which are chosen such that in the preparation of the product quiescent freezing conditions can
15 be used, while still obtaining the aspect ratio as defined above.

Examples of such food products are: frozen confectionery mixes such as ice-cream mixes and water-ice mixes which are
20 intended to be stored at ambient or refrigerator temperature. Suitable product forms are for example: a powder mix which is packed for example in a bag or in sachets. Said mix being capable of forming the basis of the frozen food product e.g. after addition of water and optionally other ingredients and
25 -optional- aeration.

Another example of a suitable mix could be a liquid mix (optionally aerated) which, if necessary after addition of further components and optional further aeration can be
30 frozen.

The clear advantage of the above mentioned mixes is that the presence of the AFP ingredient enables the mixes to be frozen under quiescent conditions, for example in a shop or home
35 freezer.

Very conveniently these mixes are packed in closed containers

(e.g. cartons, bags, boxes, plastic containers etc). For single portions the pack size will generally be from 10 to 1000 g. For multiple portions pack sizes of up to 500 kg may be suitable. Generally the pack size will be from 10 g to 5000 g.

As indicated above the preferred products wherein the AFPs are used are frozen confectionery product such as ice-cream or water-ice. Preferably the level of AFPs is from 0.0001 to 0.5 wt% based on the final product. If dry-mixes or concentrates are used, the concentration may be higher in order to ensure that the level in the final frozen product is within the above ranges.

Surprisingly it has been found that compositions of the invention can contain very low amounts of AFPs while still being of good quality.

Up till now the general belief has been that fairly high levels of AFPs are required to obtain a reasonable improvement of recrystallisation properties. The reason for this is that it is commonly believed that the AFPs act on significant parts of the surface of the ice-crystals and therefore need to be present at fairly high levels e.g. 0.01 wt% or more to get a reasonable effect.

Surprisingly it has now also been found that for frozen products improved recrystallisation properties and increased temperature tolerance can already be obtained if low levels of AFPs are used.

30

Surprisingly it has been found that the level of AFPs can be as low as 0.1 to 50 ppm while still providing adequate recrystallisation properties and temperature tolerance in frozen confectionery products. Although applicants do by no means wish to be bound by any theory, the reason for this may be that the interaction between the solids of the frozen confectionery and the AFPs provides an excellent mechanism

for inhibiting crystal growth. Most conveniently the level of AFP is from 1 to 40 ppm, especially preferred from 2 to 10 ppm.

5 For the purpose of the invention the term frozen confectionery product includes milk containing frozen confections such as ice-cream, frozen yoghurt, sherbet, sorbet, ice milk and frozen custard, water-ices, granitas and frozen fruit purees. For some applications the use of AFPs in
10 frozen fermented food products is less preferred.

Preferably the level of solids in the frozen confection (e.g. sugar, fat, flavouring etc) is more than 30 wt%, more preferred from 40 to 70wt%.

15

In a very preferred embodiment of the invention the soft but brittle frozen confectionery formulations are used to create texture contrast in ice confections. Preferably such ice-confections contain as discrete elements in their structure
20 the AFP containing composition in accordance to the invention. For example a relatively hard ice-cream core can be coated with a thin layer of the composition of the invention therewith providing a relatively soft but brittle outer layer surrounding the ice-cream core. Another
25 embodiment could be the incorporation of the formulation of the invention as inclusions in ice-confections. A third embodiment would be the alternating of layers of ice-cream with the formulation of the invention to create thin soft but brittle layers alternating with the ice-cream layers.

30

35

Example I

Isolation of AFPs from winter-rye.

5 Winter rye (Halo variety) was cut in January (mean temperature in that month was 3.5 °C ensuring the appropriate cold acclimatization of the plants). The tissue was rapidly transported into the laboratory for further handling and washed thoroughly with water to remove dirt.

10

400 g of the clippings were homogenised at ambient temperature in a Waring blender with 800 g water until the leaf tissue was completely disrupted. The AFP rich juice was collected by filtering through 4 layers of muslin.

15

The AFP rich juice was then subjected to a temperature treatment by boiling the juice for 10 minutes. This caused the precipitation of protein while the AFP for use in accordance to the invention remained in solution. The

20

supernatant was separated from the precipitate by centrifuging at 15,000 g for 20 minutes or by further filtration through muslin.

25 The AFPs can be isolated from the supernatant by freeze drying.

Example II

Isolation of AFPs from grass.

5 Mixed grass tissue (containing *Poa trivialis*, *Lolium perenne*,
Holcus lanatus and *Bromus sterilis*) was cut in January (mean
temperature in that month was 3.5 °C ensuring the appropriate
cold acclimatization of the plants). The grass tissue was
10 and washed thoroughly with water to remove dirt.

500 g of grass clippings was placed in a 650 Watt microwave
oven and heated at full power for 5 minutes, whereby the
temperature was raised to 85 to 100°C. The grass clippings
15 were then cooled to ambient temperature.

After the heating step the AFP rich juice was separated from
the clippings by filtering. The mass was stirred continuously
for 5 minutes in the presence of an equal volume of water and
20 then squeezed through 3 layers of muslin.

The supernatant can be freeze dried to isolate the AFP.

Example III

A pre-mix for preparing ice-cream was made by mixing:

5	Ingredient	% by weight
	skimmed milk powder	11.39
	sucrose	3.14
	maltodextrine (MD40)	4.00
	corn syrup 63DE	20.71
10	butteroil	9.00
	monoglyceride (palmitate)	0.45
	vanillin	0.01
	locust bean gum	0.07
	guar gum	0.05
15	carragenan	0.02
	microcrystalline cellulose	0.24
	gelatin	0.14
	AFP (of example I*)	0.01 or none(control)
	water	balance

20

*Note AFP is added as concentrated solution; percentage refers to amount of AFP

This mix can conveniently be stored at ambient temperature
25 e.g. in a plastic container.

The mixture can be whipped with a conventional house-hold mixer to an overrun of about 100%, followed by quiescently freezing into a house-hold freezer.

30

After two months storage the composition according to the invention had a markedly better texture than the control sample.

Example IV

A liquid premix for the preparation of ice-cream was prepared by mixing:

5	Ingredient	% by weight
	Skimmed milk powder	10.00
	sucrose	13.00
	maltodextrine (MD40)	4.00
10	locust bean gum	0.14
	butteroil	8.00
	monoglyceride (palmitate)	0.30
	vanillin	0.01
	AFP (of example II*)	0.01 or none (control)
15	water	balance

*Note AFP is added as concentrated solution; percentage refers to amount of AFP

Example V

Ice-creams were prepared by freezing and aerating to 70% overrun the formulation according to example IV:

5

Samples of both products were equilibrated at -18°C in a Prolan environmental cabinet for approximately 12 hours. Microscopic slides were prepared by smearing a thin layer of ice-cream from the centre of thin glass plates.

10

Each slide was transferred to a temperature controlled microscopic stage (at -18°C) where images of ice-crystals (about 400 individual ice-crystals) were collected and relayed through a video camera to an image storage and analysis system.

15

The stored ice crystal images were highlighted manually by drawing around the perimeter which then highlights the whole crystal. Images of the highlighted crystals were then measured using the image analysis software which counts the number of pixels required to complete the longest straight line (length), shortest straight line (breadth), the aspect ratio (length/breadth).

20

25 The average aspect ratio for the crystals was calculated.

For the control sample the aspect ratio was 1.45

For the sample containing AFP the aspect ratio was 1.7.

30

Example VI

The brittleness of the ice-cream of example IV was determined by calculations on the fracture behaviour of the ice-cream.
5 Using a 3-Point bend test the Young's Modulus was measured.

The Young's modulus was measured by preparing strips of ice-cream, equilibrating them for 18 hours in a freezer cabinet and transferring to a temperature cabinet. The strips were
10 placed on a 3-point bend rig as described in Handbook of Plastics Test Methods (2nd Edition), ed R.P. Brown, George Godwin Ltd, 1981. Sample testing was carried out immediately at a deformation speed of 50 mm/min. From the force-deformation curve, the initial slope was measured and used to
15 calculate the Young's modulus according to the following equation:

$$\text{Young's Modulus (Pa)} = \frac{\text{slope} \cdot L}{4 \cdot B \cdot W}$$

20

where L = beam span (110mm), B = sample width, W = sample height. Usually eight samples were tested to give a mean Young's Modulus value.

Using the calculations described by Williams & Cawood in
25 Polymer Testing 2 15-26 (1990) the fracture toughness can be calculated.

The results were as follows: For the control sample a thickness of 966 m was calculated as being necessary to
30 obtain a brittle layer. For the AFP containing sample brittleness (fracture behaviour) was already found at a thickness of 3 mm. This clearly shows the improved brittleness of products of the invention. Products with AFP were relatively hard.

Example VII

This example describes a methodology to select those AFPs which favour the formation of ice crystal shapes as preferred
5 in the invention.

Ice crystal growth under normal circumstances is along the a-axis of the crystal. If AFPs are present the growth is changed. This selective influencing of the crystal shape can
10 be explained by the fact that AFPs tend to bind to certain parts of the ice crystal and by doing this inhibit the growth of the ice-crystal in certain directions. Binding can for example take place at the prism planes (perpendicular to the a-axis) or at the Pyramidal planes (projecting off these
15 planes).

Applicants have found that AFPs which favour the formation of aspect ratios in accordance to the present invention can be found by selecting those AFPs which tend to bind on the
20 pyramidal plane. The methodology for selecting these specifically binding AFPs can be any suitable methodology. A suitable test uses the so-called "Single ice crystal 'hemisphere' growth experiment, based on the technique described in Knight C.A., C.C. Cheng and A.L. DeVries,
25 *Biophys. J.* 59 (1991) 409-418, *Adsorption of α -helical antifreeze peptides on specific ice crystal surface planes.*

A well insulated 5l plastic beaker was filled with deionised water, and placed in a temperature-controlled cabinet at -
30 1°C. It was then allowed to freeze slowly from the top. After two days a single crystal of ice approximately 4cm thick covered the beaker. The crystallographic orientation of this crystal was determined using single-crystal X-ray diffraction methods. Cubes of ice, of approximately 2cm
35 dimension, were cut from the large single crystal, such that one surface was parallel to the prism plane, and another parallel to the basal plane. Thus oriented single crystals

of ice were produced:

An apparatus are used consisting of a brass cold finger (approx. 1cm diameter) onto which an oriented seed crystal
5 was frozen. The seed was first hollowed out so that the seed crystal would fit around it. Coolant was then circulated through the finger and the seed became frozen fast to it.

The finger, with the seed crystal, was then dipped into an
10 insulated 100ml beaker containing a solution of the material under investigation. The initial temperature of the solution was room temperature (-18°C), and the only cooling was provided by the cold finger. Initially the seed crystal partially melted, but it then grew into a single crystal
15 hemisphere. After several hours (6-8) a hemisphere with a diameter of 5-7 cm was formed.

The experiment was carried out with various AFP solutions. The AFP solutions used had a concentration of AFP of 10^{-1}
20 mg/ml.

The hemisphere was then removed from the cold finger, and moved to a temperature controlled cabinet at -15°C . The surface was scraped and it was left in the cabinet at least
25 overnight (16 hours or more). Air was circulated through the cabinet by means of an integral fan. During this time evaporation of the surface layers of the ice occurred. The surface of the ice hemisphere thus came to have a smooth mirror surface. However, for a hemisphere containing AFP
30 rough patches are seen on the surface. These correspond to the patches where the AFP has bound onto the surface of the hemisphere. The large AFP molecules prevent the ice molecules from evaporating, and so a rough mat of AFP molecules is built up on the surface at the surfaces where
35 preferential binding to the ice occurs. As the orientation of the hemisphere is known, and the angular distance between these rough patches and the basal and prism directions can be

measured by means of an optical goniometer the nature of the binding plane can easily be determined.

5 This test can be used to select those AFPs which tend to bind to the pyramidal planes. For example the AFPs from example I and II tend to bind to the pyramidal planes. Also a great number of other plant extracts tend to result in pyramidal binding.

10 It will be well within the ability of the skilled person to use the above test to determine those AFPs which tend to favour the formation of high aspect ratios of ice-crystals. For testing their suitability in frozen products of the invention, the actual product can be made and the aspect
15 ratio of the crystals in the product can be determined.

Example VIII

Test for determining ice crystal size upon recrystallisation.

- 5 A sample of an AFP containing solution in water is adjusted to a sucrose level of 30 wt% (If the starting level of the sample is more than 30% this was done by dilution, if the starting level was lower sucrose was added to the 30% level).
- 10 Generally the test can be applied to any suitable composition comprising AFP and water. Generally the level of AFP in such a test composition is not very critical and can for example be from 0.0001 to 0.5 wt%, more preferred 0.0005 to 0.1 wt%, most preferred 0.001 to 0.05 wt%, for example 0.01 wt%

- 15 A 3 μ L drop of the sample is placed on a 22 mm coverslip. A 16 mm diameter cover-slip is then placed on top and a 200 g weight is placed on the sample to ensure a uniform slide thickness. The edges of the coverslip are sealed with clear
- 20 nail varnish.

- The slide is placed on a Linkham THM 600 temperature controlled microscope stage. The stage is cooled rapidly (50 $^{\circ}$ C per minute) to -40° C to produce a large population of
- 25 small crystals. The stage temperature is then raised rapidly (50 $^{\circ}$ C per minute) to -6° C and held at this temperature.

- The ice-phase is observed at -6° C using a Leica Aristoplan microscope. Polarised light conditions in conjunction with a
- 30 lambda plate were used to enhance the contrast of the ice-crystals. The state of the ice phase (size of ice-crystals) is recorded by 35 mm photomicrography at T=0 and T=1 hour. Whereby an average particle size (visual determination, number average) of below 20 μ m, more preferred between 5 and
- 35 15 μ m indicates preferred AFPs for use in products according to the invention.

Claims

1. A process for the production of a frozen food product comprising AFP, wherein the conditions are chosen such that the ice-crystals in the product have an aspect ratio of from 1.1 to 1.9.
2. A process according to claim 1 wherein the conditions for influencing the aspect ratio are selected from the group of: rate of freezing, mobility of product during freezing, storage temperature and time, formulation of the product and nature and amount of AFPs and combinations thereof.
3. A process according to claim 1, wherein the frozen food product is a frozen confectionery product.
4. A frozen confectionery product comprising from 0.0001 to 0.5 wt% of AFPs, said product having an ice-crystal aspect ratio of from 1.1 to 1.9.
5. Frozen confectionery product according to claim 4, wherein the AFPs preferentially bind to the pyramidal planes of ice crystals.
6. Frozen confectionery product having a texture contrast, said product comprising discrete elements of a confectionery product of claim 4.
7. Frozen confectionery product according to claim 6, comprising thin ice-cream layers alternating with thin water-ice layers, wherein the water-ice layers comprise from 0.0001 to 0.5 wt% of AFPs and have an ice-crystal aspect ratio of from 1.9 to 3.0.
8. Ice-cream mix suitable for use in the preparation of a

frozen confectionery product of claim 4.

9. Ice-cream mix according to claim 8, wherein the preparation involves aeration and quiescent freezing.

INTERNATIONAL SEARCH REPORT

Int ional Application No

PCT/EP 97/03636

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 A23G9/02

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A23G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 92 22581 A (M. GRIFFITH) 23 December 1992 cited in the application see page 30, line 20-30	1-4, 6
Y Y	see page 21, line 11 - page 22, line 17; figures 6,8	5 8,9
Y	WO 91 10361 A (B. RUBINSKY ET AL.) 25 July 1991 see page 13, line 2 - line 20 see page 24, line 21 - page 25, line 3	5
Y	WO 95 20883 A (G. S. CARRICK ET AL.) 10 August 1995 see page 5, line 15 - page 6, line 9; claims; examples	8,9
	--- -/-	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"A" document member of the same patent family

Date of the actual completion of the international search

19 November 1997

Date of mailing of the international search report

04/12/1997

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3018

Authorized officer

Guyon, R

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 97/03636

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	P.J. LILLFORD ET AL.: "Antifreeze proteins" JOURNAL OF FOOD ENGINEERING, vol. 22, 1994, GREAT BRITAIN, pages 475-482, XP002047525 see page 480, line 30 - line 38 ---	1,5
A	PATENT ABSTRACTS OF JAPAN vol. 18, no. 537 (C-1260), 13 October 1994 & JP 06 189686 A (SATO NORIO ET AL.), 12 July 1994, see abstract ---	1
A	US 3 897 571 A (B. HOMLER ET AL.) 29 July 1975 see column 1, line 40-57 see column 2, line 45 - column 3, line 36 ---	1
Y	R. E. FEENEY ET AL.: "ANTIFREEZE PROTEINS" FOOD TECHNOLOGY, vol. 47, no. 1, January 1993, CHICAGO, pages 82-90, XP002022179 see page 84, column 2 - page 85, column 1; figures 4,5 see page 87, column 1 - column 2 ---	1-4,6,8, 9
Y	W. S. ARBUCKLE: "ICE CREAM" 1987, THE AVI PUBLISHING COMPANY, 4TH. EDITION 1987 XP002022180 see page 232, line 1 - page 238 see figures 12.1,12.4 ---	1-4,6,8, 9
A	WO 96 11586 A (G. FLETCHER ET AL.) 25 April 1996 cited in the application ---	
A	WO 96 16557 A (COX D. R. G. ET AL) 6 June 1996 see page 4, line 12 - page 5, line 15 see page 6, line 25 - page 8, line 13 ---	1,9
A	US 4 500 553 A (L. G. LIGGETT ET AL.) 19 February 1985 see column 2, line 59-63 see column 3, line 41-54 ---	1
A	GB 2 075 326 A (EIJI ITOH ET AL.) 18 November 1981 ---	
2 A	EP 0 037 205 A (TOPALIAN H. H. ET AL.) 7 October 1981 --- -/--	

INTERNATIONAL SEARCH REPORT

Inter. Patent Application No
PCT/EP 97/03636

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 565 643 A (SOICHI ARAI ET AL.) 21 January 1986 see column 3, line 4 - column 4, line 5 -----	1

2

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 97/03636

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9222581 A	23-12-92	AU 1907192 A CA 2110510 A EP 0589928 A	12-01-93 23-12-92 06-04-94
WO 9110361 A	25-07-91	AU 659795 B AU 7335491 A EP 0511317 A JP 8009521 B JP 5503706 T US 5358931 A WO 9212722 A	01-06-95 05-08-91 04-11-92 31-01-96 17-06-93 25-10-94 06-08-92
WO 9520883 A	10-08-95	AT 147588 T AU 681008 B AU 1664495 A CA 2182703 A CN 1140394 A CZ 9602273 A DE 69500136 D DE 69500136 T EP 0710074 A ES 2085847 T FI 963037 A HU 74498 A JP 9506780 T NO 963243 A NZ 279495 A PL 315787 A SK 100796 A ZA 9500746 A	15-02-97 14-08-97 21-08-95 10-08-95 15-01-97 15-01-97 27-02-97 07-05-97 08-05-96 16-06-96 01-08-96 28-01-97 08-07-97 02-08-96 24-02-97 09-12-96 04-12-96 31-07-96
US 3897571 A	29-07-75	NONE	
WO 9611586 A	25-04-96	US 5676985 A AU 3602395 A EP 0785727 A	14-10-97 06-05-96 30-07-97
WO 9616557 A	06-06-96	AU 3988295 A EP 0804085 A FI 972276 A	19-06-96 05-11-97 29-05-97

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 97/03636

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9616557 A		NO 972465 A US 5633029 A	29-05-97 27-05-97
US 4500553 A	19-02-85	NONE	
GB 2075326 A	18-11-81	JP 1213229 C JP 56158055 A JP 58049145 B	27-06-84 05-12-81 02-11-83
EP 37205 A	07-10-81	US 4297379 A	27-10-81
US 4565643 A	21-01-86	JP 1769621 C JP 4058516 B JP 60226588 A CA 1218260 A	30-06-93 17-09-92 11-11-85 24-02-87